

Attorney Docket No. 459535-1/12093 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor: James W. RULE

Appln. No.: 09/828,158

Group Art Unit: 2176

Filed: April 9, 2001

Examiner: unassigned

For: SYSTEM AND METHODOLOGY FOR THE  
STORAGE AND MANIPULATION OF DOCUMENTS

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers dated May 15, 2001,  
Applicant respectfully submits the enclosed substitute drawings, which are in compliance with  
37 C.F.R. § 1.84, as replacements for the originally filed drawings.

Respectfully submitted,

Date: June 20, 2001

By:

  
Raymond Van Dyke, Reg. No. 34,746

Dorsey & Whitney LLP

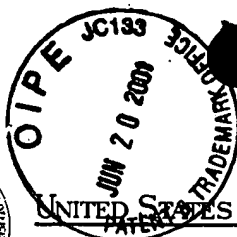
1001 Pennsylvania Ave., N.W.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,158	04/09/2001	James W. Rule	12093

CONFIRMATION NO. 6551

## FORMALITIES LETTER



\*OC000000006078989\*

Raymond Van Dyke  
Suite 300 South  
1001 Pennsylvania Avenue, N.W.  
Washington, DC 20004

Date Mailed: 05/15/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

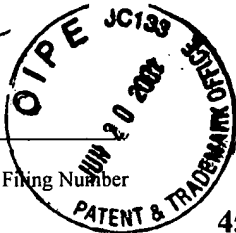
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



03CD  
##  
3

June 20, 2001

Dorsey & Whitney Filing Number

Filing Date of this Submission

Atty. Docket No.: 459535-1/12093

Re: **RULE - "SYSTEM AND METHODOLOGY FOR THE STORAGE AND  
MANIPULATION OF DOCUMENTS"**

Application No.: 09/828,158

Filing Date: April 9, 2001

International Application No.:

International Filing Date:

The following items/fees were received from Dorsey & Whitney, Washington, D.C., by the U.S. Patent & Trademark Office:

ITEMS

- ☐ New U.S. Utility Patent Application Transmittal Sheet
- ☐ Specification (      pages)
- ☒ Drawings ( 3 sheets,    informal - ☒ formal)
- ☒ Inventor Declaration and Power of Attorney
- ☐ Abstract (    ) Included in Specification
- ☒ Assignment/Cover Sheet ( 5 pages)
- ☐ Fee Transmittal Sheet
- ☐ Application Data Sheet
- ☐ Postcards (    )
- ☒ Response to Notice to File Corrected Application Papers
- ☒ Preliminary Amendment
- ☒ Request and Certification Under 35 U.S.C. § 122(b)(2)(B)(i)
- ☐ Reply Brief (in triplicate)
- ☐ Request for Oral Hearing
- ☐ Confirmation of Hearing Petition
- ☐ Certificate of Correction
- ☐ Maintenance Fee Transmittal

OTHER ITEMS

☒ Filing Receipt

U.S. PTO FEES

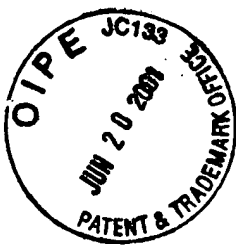
- ☐ Utility Filing Fee
- ☐ **Recordation of Assignment Fee**
- ☐ Additional Claim Fee
- ☐ IDS Fee
- ☐ Notice of Appeal Fee
- ☐ Brief on Appeal Fee
- ☐ Oral Hearing Request Fee
- ☐ Maintenance Fee
- ☐ Notice of Opposition Fee

OTHER FEES

\$ 40 ✓

**TOTAL FEES - CHARGE TO DEPOSIT  
ACCOUNT/ANY EXCESS OR  
INSUFFICIENCY SHOULD BE  
CREDITED OR DEBITED TO DEPOSIT  
ACCOUNT NO. 04-1420 - 459535-1**

**RETURN DATE-STAMPED RECEIPT TO WYLIE  
WILLIAMS (EXT. 8915)**



#6

Docket No.459535-1/12093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor: James W. RULE

Appln. No.: 09/828,158

Group Art Unit: 2176

Filed: April 9, 2001

Examiner: unassigned

Title: SYSTEM AND METHODOLOGY FOR THE  
STORAGE AND MANIPULATION OF DOCUMENTS

**REQUEST AND CERTIFICATION**  
**UNDER 35 U.S.C. § 122(b)(2)(B)(i)**


Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 35 U.S.C. § 122(b)(2)(B)(i), Applicant hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,

Date: June 20, 2001

By:   
Raymond Van Dyke, Reg. No. 34,746  
Dorsey & Whitney LLP  
1001 Pennsylvania Avenue, N.W.  
Suite 300 South  
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